

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application  
Thomas GIERING et al

Confirmation No. 9004

Serial Number: 10/574,661

Group Art Unit: Unassigned

Filed: April 4, 2006

Examiner: Unassigned

Attorney Docket No. GIER3005/JEK

For: SYSTEM FOR CHECKING THE SECURITY FEATURES OF  
DOCUMENTS OF VALUE

MAIL STOP MISSING PARTS  
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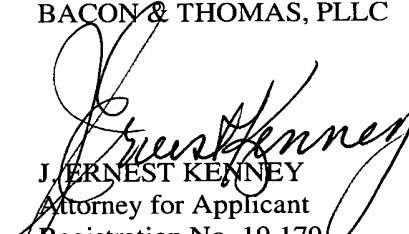
Sir:

The below identified communication(s) or document(s) is(are) submitted in the above application or proceeding:

- ☒ Notice to File Missing Requirements
- ☒ Declaration
- ☒ Check in the amount of \$130 (\$130 - Late Declaration Surcharge Fee)
- ☒ Information Disclosure Statement
- ☒ PTO-1449
- ☒ German Patent Office Search Report
- ☒ Five (5) Cited References
- ☒ Please debit or credit **Deposit Account Number 02-0200** for any deficiency or surplus in connection with this communication. A duplicate copy of this sheet is provided for use by the Deposit Account Branch.

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Date: January 12, 2007

01/17/2007 GFREY1 00000029 10574661

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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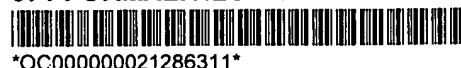
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/574,661	Thomas Giering	GIER3005/JEK

INTERNATIONAL APPLICATION NO.	
PCT/EP04/11134	
I.A. FILING DATE	PRIORITY DATE
10/05/2004	10/08/2003

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Date 11/24/06, Atty SEK  
Action Due Resp. Missing req's  
Deadline 1/20/07  
Final Deadline 6/20/07  
Based on \_\_\_\_\_

CONFIRMATION NO. 9004  
371 FORMALITIES LETTER



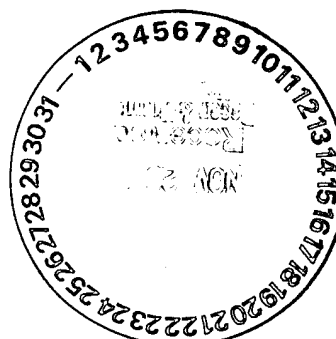
\*OC000000021286311\*

Date Mailed: 11/20/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/04/2006
- English Translation of the IA filed on 04/04/2006
- Copy of the International Search Report filed on 04/04/2006
- Preliminary Amendments filed on 04/04/2006
- Information Disclosure Statements filed on 04/04/2006
- Oath or Declaration filed on 04/04/2006
- Request for Immediate Examination filed on 04/04/2006
- U.S. Basic National Fees filed on 04/04/2006
- Priority Documents filed on 04/04/2006
- Specification filed on 04/04/2006
- Claims filed on 04/04/2006
- Abstracts filed on 04/04/2006
- Drawings filed on 04/04/2006



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

VIRGINIA L IRBY

Telephone: (709) 308-9140 EXT 229

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/574,661	PCT/EP04/11134	GIER3005/JEK